



Financial & Tax Architects, Inc.

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Form ADV Part 2B

Alan Schmidt

March 1st, 2022

This brochure supplement provides information about Alan Schmidt that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Alan Schmidt (CRD #6432350) is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement – Form ADV Part 2B

Alan Schmidt

Investment Advisor Representative

Item 2 Educational Background and Business Experience

Educational Background:

- N/A

Business Background

- Financial and Tax Architects, Inc.; Investment Advisor Representative; 04/2020 – Present
- The Financial Fire Marshall; Owner; 11/2017 – Present
- ECA Marketing, NFP; Marketing Executive; 07/2019 – Present
- The Diamond Group; Owner 06/2016 – 11/2017
- United Retirement Consulting; Consulting Services; 05/2006 – 06/2016

Item 3 Disciplinary Information

- Criminal or Civil Action: None to report.
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Alan B. Schmidt is the President of The Financial Fire Marshall LLC, a Minnesota-based insurance agency. Mr. Schmidt spends approximately 25% of his time is spent in his insurance practice. In that capacity, he will provide advice on various insurance products. Mr. Schmidt only sells insurance products in states where he is properly licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Schmidt to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Schmidt's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

Mr. Schmidt is a Marketing Executive with ECA Marketing, NFP. ECA Marketing is an insurance wholesaler. Mr. Schmidt's activities as a marketing executive do not present a conflict of interest with Financial & Tax Architects, Inc.'s clients

Item 5 Additional Compensation

Mr. Schmidt does not receive additional compensation from his advisory activities. He does receive additional compensation from the outside business activities described above.

Mr. Schmidt is not paid performance-based fees.

Item 6 Supervision

Steve Frontczak is the Chief Compliance Officer; Mr. Frontczak reviews the advisory activities of Mr. Schmidt. He can be reached by phone at (314) 858-1122 or by email at compliance@fta-ria.com